

Targeting of an Interrupted Polypurine:Polypyrimidine Sequence in Mammalian Cells by a Triplex-Forming Oligonucleotide Containing a Novel Base Analogue[†]

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ABSTRACT: The DNA triple helix consists of a third strand of nucleic acid lying in the major groove of an intact DNA duplex. The most stable triplexes form on polypurine:polypyrimidine sequences, and pyrimidine interruptions in the purine strand are destabilizing. Sequence stringency is imparted by specific Hoogsteen hydrogen bonds between third strand bases and the purine bases in the duplex. Appropriate base and sugar modifications of triple helix-forming oligonucleotides (TFOs) confer chromosome targeting activity in living cells. However, broad utilization of TFOs as gene targeting reagents in mammalian cells has been limited by the requirement for homopurine target sequences. Although there have been a number of base analogues described that appear to be promising as candidates for triplex target expansion, none has been examined in a biological system. We have employed a postsynthetic strategy to prepare a collection of TFOs with base analogues at a defined position. Following assessment of affinity for a triplex target with a single C:G inversion, TFOs with a second generation of analogues were synthesized. One of these, TFO-5a, with 2'-OMe-guanidinylethyl-5-methylcytosine at the position corresponding to the C:G interruption in the target sequence, was further modified to confer bioactivity. The activity of this TFO, linked to psoralen, was measured in a mammalian cell line that was engineered by directed sequence conversion to carry a triplex target with a single C:G interruption. TFO-5a was active against this target and inactive against the corresponding target with an uninterrupted polypurine:polypyrimidine sequence.

Triple helix-forming oligonucleotides (TFOs)¹ have been the subject of research for many years because of their potential as gene targeting reagents for application in living cells (1–4). Triplex formation can occur when a third strand of nucleic acid, either DNA or RNA, lies in the major groove of an intact duplex. The most stable triplexes form on polypurine:polypyrimidine elements with two hydrogen bonds established between the third strand bases and the duplex purines. Third strands may be composed of pyrimidines or purines depending on the nature of the target. Thus, when the duplex sequence is weighted toward A:T pairs, with relatively few G:C pairs, a pyrimidine motif third strand is indicated. Alternatively, with G:C rich duplexes, a purine motif third strand is preferred. Pyrimidine motif third strands form A:T·T and G:C·C⁺ triplets, while A:T·A and G:C·G triplets are formed by purine motif third strands.

Although triplexes can be readily generated in vitro under the appropriate buffer conditions, formation in vivo is much more

challenging. While charge repulsion between the third strand phosphates and those of the duplex can be suppressed by divalent cations such as Mg²⁺ in vitro, unbound Mg²⁺ is much less available in cells (5). Conformational restrictions on both the third strand and duplex in a triplex contribute to the much slower rate of triplex formation as compared to the rate of annealing of single strands. A physiological pH is a problem for pyrimidine motif third strands, as the second hydrogen bond formed by cytosine requires protonation with a pK_a of 4.5.

These restrictions have been overcome by the incorporation of base and sugar modifications into the third strands. 5-Methylcytosine (5MeC) was found many years ago to ameliorate the pH restriction on pyrimidine motif TFOs (6). The demonstration that RNA third strands formed more stable triplexes than their deoxy counterparts (7) prompted an examination of the influence of sugar analogues on these structures. 2'-O-Methylribose (2'-OMe) in TFOs was shown to further stabilize triplexes (8). Structural studies showed that TFOs with uniform 2'-OMe substitution were preorganized into a conformation very similar to that assumed in a triplex, while requiring minimal conformational adjustments on the part of the duplex (9). Another RNA analogue sugar, 2'-O-aminoethylribose (2'-AE), which is protonated at physiological pH, was shown to greatly enhance the rate of triplex formation and stabilize triplexes once they formed (10–12).

The biochemical and biophysical properties of TFOs with these modifications encouraged examination of their biological activity. We showed that psoralen-linked TFOs with 2'-OMe ribose

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Abbreviations: 2'-AE, 2'-O-aminoethylribose; *Hprt*, hypoxanthine phosphoribosyltransferase; 5MeC-EtGua, 2'-OMe-guanidinylethyl-5-methylcytosine; TG, thioguanine; T^{Tn}, triazolythymidine; TFO, triple helix-forming oligonucleotide.

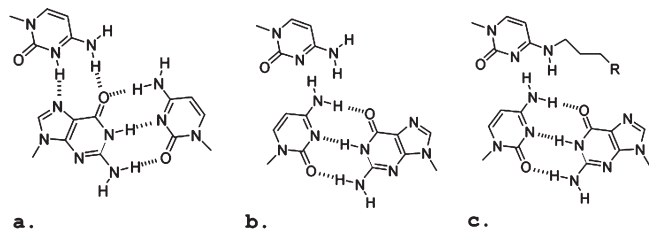


FIGURE 1: Schematic of base triplets formed in either an uninterrupted polypurine:polypyrimidine sequence or one with an “inverted” interruption in the sequence: (a) canonical G:C:C⁺, (b) inverted C:G:C^{mod}, and (c) inverted C:G:C^{mod}. The R group is designed to afford additional hydrogen bonding between the base analogue and the purine base of the inverted base in the duplex target sequence.

substitutions and a cluster of 2'-AE residues could introduce mutations at the target site in living cells (13–17). TFO efficacy was influenced by the biology of the cell, with maximal activity during the S phase, probably reflecting the time of greatest access to the targets in a chromatin context (18). The chromosomal targets addressed in these studies were polypurine:polypyrimidine sequences. These results indicated that the combination of 2'-OMe ribose and clustered 2'-AE substitutions conferred bioactivity on pyrimidine motif TFOs against canonical polypurine:polypyrimidine targets.

Although the combination of these sugar modifications proved to be important for the biological activity of TFOs against conventional targets, they did not address a major impediment to the broader application of TFOs: the inimical influence of pyrimidines in the homopurine strand of the target sequence. The change of a purine:pyrimidine pair to a pyrimidine:purine pair in the duplex places the purine outside of the hydrogen bonding range of the third strand base (Figure 1). The stability of triplexes formed on these sequences by oligonucleotides with standard nucleoside constituents is generally poor, and inadequate for bioactivity. This problem is well-known, and there have been many attempts to design base analogues that would overcome this limitation (reviewed in refs (19–21)). For structural reasons, the C:G (Figure 1a,b) inversion is more approachable than the T:A inversion, and there has been greater progress with this target.

Several strategies have been employed in this effort. Some have taken advantage of the opportunity to form a single hydrogen bond with the 4-amino of the cytosine of the inverted C:G base pair. TFOs containing the 5-methyl-2-pyrimidone (22) and methylated 3*H*-pyrrolo[2,3-*d*]pyrimidine-2(7*H*)-one (23, 24) analogues form more stable triplexes on duplexes containing a C:G inversion than those with natural bases at the site. Binding has been further enhanced by linkage of the analogue to a bridged 2'-O,4'-C-methylene ribose (25) or a 2'-aminoethoxyribose (26). These modifications are well-known to enhance the stability of triplexes formed by pyrimidine motif third strands (11, 27, 28).

Another approach reflects the attempt to form hydrogen bonds between base analogues in the third strand and the guanine in the inverted base pair. Attention has been given to cytosine derivatives in which extended side chains present hydrogen bond donors to the O6 and N7 acceptors of guanine (Figure 1c). For example, the TFOs with an *N*⁴-(3-aminopropyl)cytosine or the *N*-acetylated derivative were able to form stable triplexes on targets with C:G inversions (29). In an effort to restrict the flexibility of the aminopropyl side chain, an *N*⁴-(6-amino-2-pyridinyl)-cytosine derivative was prepared (30). Although a TFO carrying this analogue formed stable triplexes on a C:G inversion target, it also exhibited strong affinity for a target with an A:T base pair at

the same site. Consequently, the gain in affinity afforded by the pyridinyl group was offset by the loss of specificity.

The C:G inversion has been addressed with platforms other than cytosine, such as imidazole. Although these analogues showed promise in model studies, they have not been examined in oligonucleotides (31). Encouraging results with heterocyclic based C-glycosides capable of binding C:G inversions were reported by Gold and colleagues (32). A novel candidate based on a bicyclo sugar aminopyrazole appears to have promise for the C:G inversion in antiparallel triplexes (33), although there are nearest neighbor sequence effects.

The activity of analogues with side chains containing aromatic rings has also been examined. Typically, these overcome the triplex destabilization of C:G inversions via intercalation rather than specific hydrogen bond formation (20, 34), and this results in a loss of specificity.

While the focus of this discussion is on analogues designed to overcome the C:G inversion, it should be noted that there has been an effort to address the T:A inversion as well (24, 35, 36).

The analogues discussed in this brief overview have been characterized by biochemical and biophysical analyses, and some appear to have promise. However, despite more than a decade since the demonstration of activity of a TFO against a canonical genomic target in living cells (37), none of the analogues has been introduced into a TFO and then tested for activity in a biological assay.

In this report, we describe the synthesis of *N*⁴-alkyl-5-methylcytosine derivatives that improved binding of a pyrimidine motif TFO to a target sequence containing a single C:G inversion. TFOs with several analogues were characterized, and one, containing *N*⁴-(2-guanidoethyl)-5-methylcytosine at the inversion site, was active in a gene targeting assay in living mammalian cells.

METHODS

Synthesis, Deprotection, Purification, and Determination of the Mass of TFOs. The oligonucleotides were synthesized on a 0.2 or 1.0 μmol scale on CPG supports (500 Å), or on a deprotection resistant polystyrene support (Oligo-Affinity, Glen Research) using an Expedite 8909 synthesizer. The coupling times for the phosphoramidite monomers of protected 2'-*O*-methylthymidine and 2'-*O*-methyl-5-methylcytidine were 360 s. The coupling times for the phosphoramidite monomers of protected 2'-aminoethylthymidine, 2'-aminoethyl-5-methylcytidine, 2'-*O*,4'-*C*-BNA/LNA or ENA monomer, and psoralen were 900 s. The psoralen-containing TFOs were synthesized such that the psoralen coupling step followed the modification of the triazolyl base. The support was treated with an acetonitrile solution of the desired amine (10%) containing DIEA (10%) for 3 h at room temperature. Then the support was washed five times with acetonitrile, after which the psoralen was coupled. The resulting psoralen TFO was deprotected with a 1:1 solution of 28% aqueous NH₃ and 40% aqueous methylamine (Aldrich Chemicals) for 90 min at room temperature, immediately evaporated to dryness, and resuspended in HPLC-grade H₂O. Support-bound TFOs used in the binding assay were deprotected with 28% aqueous ammonia for 4 h at 55 °C, and then the support was washed five times with water. Purification of psoralen-containing TFOs was conducted by ion-exchange HPLC using a Dionex DNAPac PA-100 column (column sizes of 4.0 mm × 250 mm for analysis and 9.0 mm × 250 mm for purification) on a Shimadzu HPLC system (LC-10ADvp) with a dual wavelength detector (SPD-10AVvp) and an autoinjector

Table 1: Average Strand–Strand Interaction Energies (kilocalories per mole) Calculated over a 5–30 ns MD Simulation for the Five Central Bases^a

strands ^b	A:T·T	A:T·5a	G:C·T	G:C·5a	C:G·T	C:G·5a
I–II (Watson–Crick)	–81.20	–61.60	–76.56	–56.76	–97.67	–94.20
I–III (Hoogsteen)	–78.40	–55.88	–65.91	–53.69	–56.58	–67.87
II–III	3.83	4.16	0.97	4.81	1.25	3.18
Hoogsteen–Watson–Crick	–74.58	–51.72	–64.95	–48.89	–55.33	–64.69
<i>T_m</i> (°C)	59	29			39	50
bioactivity (%)	100	<1			~10	~45

^aAll energies are given in kilocalories per mole. ^bInteraction energies are interstrand base–base contributions calculated for the five central bases.

(SIL-10ADvp). HPLC conditions were as follows: linear gradient from 0 to 100% buffer B over 60 min, at a rate of 1.0 mL/min [buffer A consisted of 100 mM Tris (pH 7.5) containing 10% CH₃CN; buffer B consisted of 1 M NaCl containing 100 mM Tris (pH 7.5) and 10% CH₃CN]; UV monitor, 254 and 315 nm (λ_{\max} for psoralen). The oligonucleotides were collected, lyophilized, and desalted using NAP 5 columns (GE Healthcare).

The masses of the chemically modified oligonucleotides were determined in the positive ion mode using MALDI-TOF mass spectrometry on a Voyager Applied Biosystems instrument. The matrix used for preparing the MALDI-TOF samples was a mixture of 3-hydroxypicolinic acid (50 mg/mL in 50% CH₃CN) and ammonium citrate (50 mg/mL in HPLC-grade water). MALDI-TOF: 5'-Pso-T^{Me}CTT^{Me}CTTT^{Me}CT^{Me}Ct^{Me}ctT (lowercase bases were modified with 2'-AE) 6150.46 (calcd) and 6150.57 (found); 5'-Pso-T^{Me}CTT^{Me}CTTT^{Me}C^{EtGua}T^{Me}Ct^{Me}ctT 6235.58 (calcd) and 6233.46 (found).

Binding Assay. The support with covalently bound TFO (5 mg) was suspended in water (200 μ L) and divided into four equal portions. The resulting fractions were centrifuged, and the water was removed. Then 3.3 μ M hairpin duplex oligonucleotide (5'-TCATTCTCTYTTTCTTCTAGATTTTCTAGAAGAAAXAGAGAAATGA) in 200 μ L of 10 mM HEPES containing 5 mM MgCl₂ (pH 7.0) was added to each portion, where X and Y were A and T, T and A, G and C, and C and G, respectively. The suspended support was kept overnight at 60 °C with occasional shaking. The water phase was removed, and after a brief wash, the beads were suspended in 100 μ L of 10 mM EDTA in 25 mM NaOH. The suspension was kept at room temperature for 4 h with occasional shaking. Aliquots of 75 μ L were taken and diluted to a volume of 150 μ L with water, and the UV absorbance measurements were taken.

Guanidinylation Procedure. The support-bound TFO derivatized with alkyl diamine was suspended in 200 μ L of dry DMF containing 1 M DIEA and 1 M pyrazole-1-carboxamide hydrochloride. The suspension was kept at 55 °C overnight. Then the solution was discarded and the support washed four times with DMF and three times with water.

Thermal Denaturation. The thermal melting experiments were conducted using TFOs with a 19-mer *Hprt* hamster duplex target (5'-GTAGAAGAAAAAGAGAAA and 3'-CATCTTCTTTTCTCTTT) which had a *T_m* of 53.5 °C. A 1 μ M stock solution of target duplex was prepared in a buffer containing 100 mM NaCl, 2 mM MgCl₂, and 10 mM sodium cacodylate (pH 7.0). The solution was heated to 80 °C for 15 min, allowed to reach room temperature over 4 h, and then stored in the refrigerator at 4 °C. An aliquot of a TFO solution (1 μ M) was added to 1 mL of the stock duplex at room temperature, and the mixture was incubated at room temperature overnight. Thermal melting determinations were conducted using a Cary 3E UV–vis

spectrophotometer fitted with a thermostat sample holder and a temperature controller. The triplex solution was heated from 25 to 85 °C at a rate of 0.4 °C/min, and the absorbance at 260 nm was recorded as a function of temperature. The raw data were processed using Sigma plot 5.0 to determine the first-derivative curve, from which the *T_m* value was obtained. All analyses were performed at least twice with an error of no more than 0.5 °C.

Cells, Transfection, and Assay of *Hprt* Knockout. Chinese hamster ovary (CHO) AA8 cells were grown in Dulbecco's modified Eagle's medium, supplemented with 10% fetal calf serum (FBS), penicillin, and streptomycin. Prior to an experiment, the cells were grown in HAT medium (10^{–4} M hypoxanthine, 5 \times 10^{–5} M aminopterin, and 10^{–5} M thymidine) for 1 week to eliminate *Hprt*[–] cells. The cells were synchronized in the G₀/G₁ phase as described previously (38). Briefly, cells were plated at subconfluent levels, and the next day, the medium was changed to DMEM with 2% FBS and 2% DMSO. After 48 h, the cells were washed and incubated with complete medium containing 100 μ M mimosine for 16 h to block them in the early S phase (~90% early S cells) (39). After 16 h, the cells were released from the mimosine block after being fed with a DMEM/10% FBS mixture and further incubated for 4 h. In previous work, we have shown that the *Hprt* target is most accessible in cells in the mid-S phase (18). Consequently, all bioactivity assays were conducted with mid-S phase cells.

Mid-S phase cells were suspended at a density of 10⁷ cells/mL and mixed with TFO at 5 μ M. The cells were electroporated (Amaxa), followed by incubation at room temperature for 3 h, and a 3 min exposure in a Rayonet chamber to UV-A light at 1.8 J/cm². The cells were passaged for 8 days and then exposed to thioguanine (TG) selection (13). Colonies were fixed, stained, and counted after 10 days. Characterization of the amplification products of the target region from mutant colonies was as described previously (16, 37).

Computational Details. Initial double-stranded (ds) and triple-stranded (tx) DNA coordinates were generated using the Nucleic Acid Builder module of Discovery Studio version 2.1. Consequently, each ds- and tx-DNA was read into CHARMM (40), where the calculations were performed using a CHARMM27 all-atom additive nucleic acid force field (41, 42). Molecular dynamics (MD) simulations were performed with a combination of CHARMM and NAMD (43).

To construct triplexes with modified bases, the nucleic acid force field was parametrized to include the 2'-OMe moiety of the ribose, the protonated analogue of 5-methylcytosine and *N*⁴-(2-guanidoethyl)-5-methylcytosine. Then 32-mer double-stranded (ds) and 32–17-mer triplex (tx) DNA sequences were generated, as summarized in Table 1. The hydrogen atoms were constructed on the basis of the internal coordinates in the CHARMM27 nucleic acid force field. All heavy atoms were harmonically

restrained with a force constant of $50 \text{ kcal}^{-1} \text{ mol } \text{\AA}^{-2}$; all force field energy terms were turned on excluding electrostatics, and the ds- and tx-DNAs were energy optimized with 500 steps of steepest descent (SD) (44) followed by 500 steps of conjugate gradient (CD) minimization to relax initial hydrogen atom positions. Next, a pre-equilibrated orthogonal box of explicit TIP3P water molecules was superimposed on the DNA structure. The size of the box was chosen to ensure an at least 20 \AA layer of water from the backbone of the DNA and a 10 \AA layer of water from both ends of the strands and the edge of the box. Water molecules within 4.1 \AA of the DNA were deleted, and sodium counterions were placed by random selection of added water molecules to neutralize the net charge. Prior to the MD calculations, each solute/water/ion system was minimized and equilibrated. For the double-stranded and triplex systems, a rectangular box with approximate dimensions of $130 \text{ \AA} \times 71 \text{ \AA} \times 71 \text{ \AA}$ or $128 \text{ \AA} \times 71 \text{ \AA} \times 72 \text{ \AA}$ was generated. Periodic boundary conditions (45) were used, and Coulomb interactions were treated with the particle mesh Ewald method (46) with a real space cutoff of 10 \AA , a κ value of 0.34, order 6 B-spline interpolation, and a grid spacing of approximately 1.0 \AA . Lennard-Jones interactions were truncated from 8 to 10 \AA with force switching, and long-range correction (47) was applied to account for the effect of Lennard-Jones interactions beyond truncation. The SHAKE algorithm (48) was applied to all hydrogen atoms with a tolerance limit of 10^{-8} , and the nonbonded pairlist was updated whenever an atom's relative displacement exceeded 1 \AA . All DNA strands were harmonically constrained with a force constant of $50 \text{ kcal}^{-1} \text{ mol } \text{\AA}^{-2}$, to allow the water/ions system to minimize for 2000 steps of steepest descent (SD). Subsequently, the constraints were released to $5 \text{ kcal}^{-1} \text{ mol } \text{\AA}^{-2}$, and the whole solute/water/ion system was minimized for 1000 steps of a conjugate gradient (CG).

MD simulations for all the systems were performed in an isobaric–isothermal ensemble. The equations of motion were integrated with the 'leapfrog' algorithm (49) and a 2 fs integration time step to propagate the system. The temperature was maintained at 298 K by a Nose–Hoover heat bath (50, 51) with a thermal piston parameter of $10000 \text{ kcal mol}^{-1} \text{ ps}^2$. The constant pressure of 1 atm was controlled using the Langevin piston (52) with a mass of 1000 amu. The initial 100 ps of water–ion equilibration was performed by imposing $5 \text{ kcal}^{-1} \text{ mol } \text{\AA}^{-2}$ harmonic constraints on the solute, followed by 500 ps of unconstrained dynamics of the entire system. Finally, 30 ns Langevin dynamics simulations were performed using NAMD version 2.6 with the CHARMM27 force field. The Langevin coupling coefficient of 1 ps^{-1} with a temperature bath of 298 K was applied to all atoms. The piston oscillation period of 200 fs and the barostat damping time scale of 100 fs were used to maintain a piston pressure of 1 atm.

RESULTS

In previous publications, we identified the oligonucleotide chemistry that supported robust activity against chromosomal targets in living cells (14, 15). The TFOs were designed to bind a polypurine:pyrimidine sequence in the Chinese hamster *Hprt* gene, adjacent to exon 5. This sequence contains the acceptor site for the splicing of exons 4 and 5 in the primary transcript and terminates in a 5' TA step that is a preferred site for cross-linking by psoralen. Bioactive psoralen-linked TFOs introduce a psoralen at the target site that can be converted to an interstrand cross-link by photoactivation. Mutagenesis induced by the cross-link

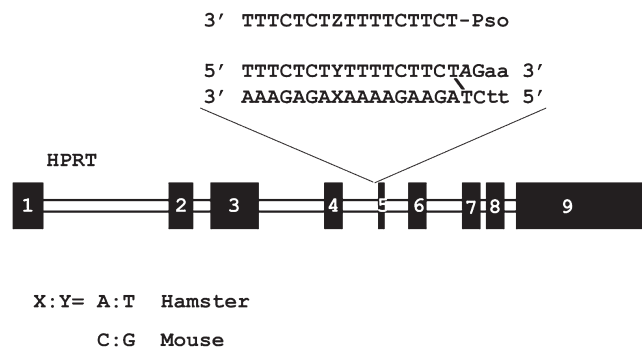


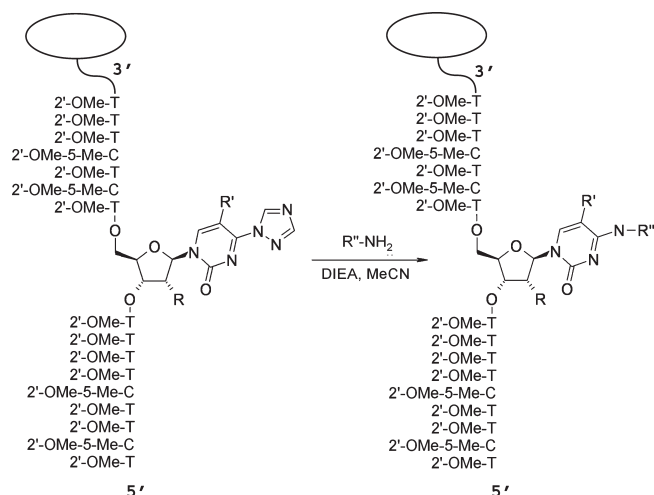
FIGURE 2: Chinese hamster *Hprt* gene and psoralen triplex target sequence. The position that varies between mouse and hamster genomes is shown (X:Y) as is the location of base analogues in the third strand (Z). Mutagenesis by a TFO-targeted psoralen cross-link (that links a thymine on each duplex strand) results in small deletions in the target sequence and adjacent exon 5. The frequency of mutations can be determined by quantitation of thioguanine resistant colonies.

inactivates the *Hprt* gene, yielding cells that survive exposure to selective medium, providing a quantitative measure of TFO bioactivity (see Methods). In earlier work, we have shown that the *Hprt* deficient clones contain small deletions that include the targeted cross-link site and all or a portion of exon 5 (18, 37).

Comparison of the hamster triplex target with the corresponding element from other species revealed that the equivalent murine sequence differed by a single base pair, a C:G inversion in the midst of adjacent T residues (X:Y in Figure 2). Because the *Hprt* gene is functional in mouse cells, it is apparent that this sequence change does not perturb splicing. Consequently, this target could be employed during the development of the oligonucleotides against targets with a single C:G inversion, with the anticipation that they could be examined in a bioassay based on the knockout of a *Hprt* gene containing the murine target sequence.

Synthesis of Base Analogues. At the outset of this study, we wanted to employ an approach that would allow us to examine multiple candidate analogues without the requirement of synthesizing each as a monomer phosphoramidite prior to incorporation into an oligonucleotide. Accordingly, we chose a strategy of postsynthetic modification of an oligonucleotide containing a triazolyl thymidine (T^{Tri}) residue at the Z position in the TFO corresponding to the X:Y position in the duplex. The oligonucleotide was synthesized on a polystyrene support attached by a deprotection resistant linkage (Methods). In our previous work, we found that RNA analogue sugars made an important contribution to TFO bioactivity (14). Consequently, the T^{Tri} oligonucleotide was synthesized with 2'-OMe ribose at all positions. Following synthesis and deprotection, the beads with the oligonucleotide attached were removed from the cartridge and loaded in equal amounts into a series of reaction vessels. In the initial synthetic series, triazolyl displacement reactions were performed with alkyl diamines of increasing length (Scheme 1 and Figure 3a). The beads from an individual reaction were washed and divided into four tubes, and each tube was incubated with a hairpin duplex oligonucleotide (Methods) containing the target sequence with one of the four possible base pairs at the X:Y site. The incubation was performed overnight, followed by removal of the aqueous phase (Methods). After being washed, the beads were suspended in elution buffer to remove the bound duplex. The absorbance of the supernatant was then determined. Although this was a very crude measure of binding, it did allow for a rapid preliminary assessment of the influence of chain length

Scheme 1: Postsynthetic Solid Phase Synthesis of Base Analogues



on binding to the different targets. Thus, for example, the data suggested that for the C:G target the distance between the N⁴ atom and the terminal amine should be between three and five atoms. In contrast to the primary amine, histamine, placed within the optimal distance, showed no enhanced binding to the C:G inversion. It was also noteworthy that the C₂-N-acetyl analogue was no more effective than the C₂-NH₂ version (Figure 3b).

On the basis of the results of the preliminary binding assay, we synthesized TFO-**3c** containing all sugar residues as 2'-OMe ribose and the N^4 -aminobutylcytosine analogue (**3c**) on a conventional, cleavable, support. The oligonucleotide was purified, and triplexes were formed on duplex targets containing the four possible base pairs at the X:Y position. Triplexes were also formed by an oligonucleotide containing a T at the Z position (TFO-T), as found in the wild-type sequence. The stabilities of the triplexes were determined by analysis of thermal resistance.

The results (Figure 3c and Figures S1 and S2 of the Supporting Information) showed a very striking difference in the stability of the triplexes formed by the TFO-T and TFO-**3c** on the duplex containing an A:T duplex. While the T_m of the triplex formed by TFO-T was 59 °C, as reported previously (14), we did not observe a stable triplex with TFO-**3c** on the A:T duplex. In contrast, there were only a few degrees of difference in the T_m value with the T:A duplex, although values for both triplexes were more than 20 °C lower than that of the perfect match TFO-T on the A:T duplex. With the G:C duplex, there was a 17 °C difference, the TFO-T forming a much more stable structure. There was a 5 °C difference between the T_m values of the two triplexes on the C:G duplex, with the TFO-**3c** species forming the more stable structure. These data indicated that a TFO with the **3c** analogue could form a triplex on the C:G duplex that was more stable than on the other duplexes and could serve as a lead compound for additional syntheses.

Synthesis and Characterization of Alkyl Guanido Analogues. The preceding study suggested that extension of a single putative hydrogen bond donor could contribute to the stability of the triplex on the C:G duplex. However, it seemed unlikely that this would be sufficient to be effective in bioassays. In an effort to improve the stability, we decided to add another potential hydrogen bond donor. The guanidine group of arginine is often employed in sequence specific binding proteins as a dual hydrogen bond donor involved in the recognition of guanine (53, 54). Analogues with $n = 2$ or 3 alkyl diamine side chains (Figure 4a)

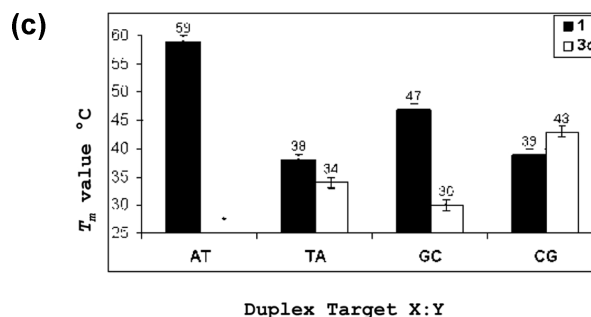
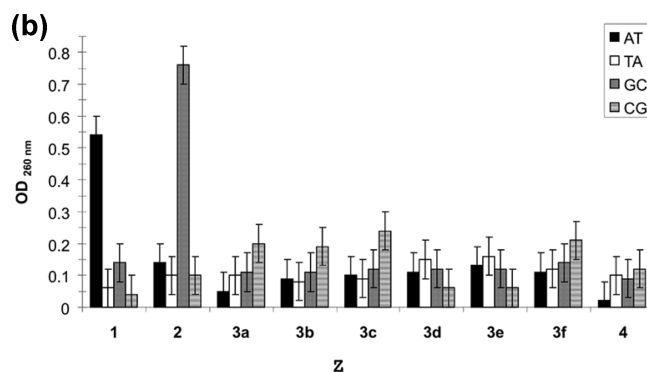
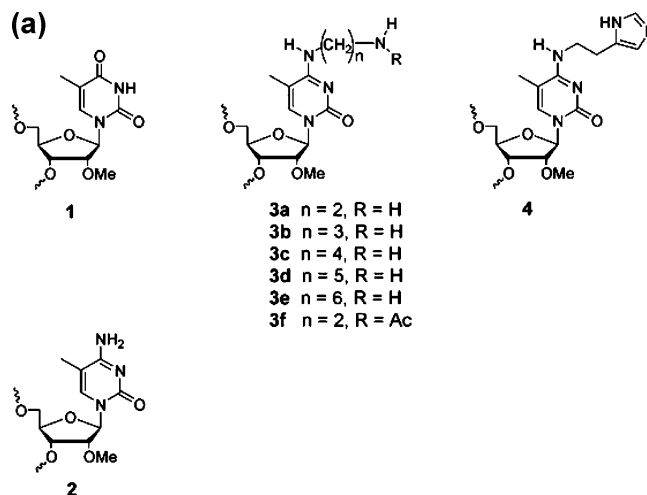


FIGURE 3: Analysis of base analogues in TFOs containing 2'-OMe ribose. (a) Bases and analogues in TFOs: **1**, 2'-OMe thymidine; **2**, 2'-OMe 5Me cytosine. (b) Binding assay of analogues shown in panel a. Resin-bound TFOs with base analogues were incubated with hairpin duplexes containing each of four possible base pairs at the X:Y position shown in Figure 2. After being washed, the remaining bound duplex was eluted from the resin (Methods) and the amount measured spectrophotometrically. (c) Thermal stability of 2'-OMe TFOs containing T (against the wild-type target sequence) or analogue **3c** in the Z position.

were synthesized using the postsynthetic strategy on a noncleavable support described above. The TFO was then conjugated, in a second postsynthetic reaction with pyrazole-1-carboxamide, to yield the guanido derivative. A binding assay clearly demonstrated the superiority of the $n = 2$ version (Figure 4b). Additional variants, in which triazolyl uracil (rather than triazolyl thymidine) was linked to a deoxy ribose or 2'-OMe-ribose, were also synthesized. The binding assay indicated that the TFO with the 2'-OMe-guanidinylethyl-5-methylcytosine (**5a**) was the most effective against the C:G duplex target.

As in the previous experiments, the TFO containing this analogue was synthesized on a conventional support and purified. The stability of triplexes formed by this TFO (TFO-**5a**) on the duplex

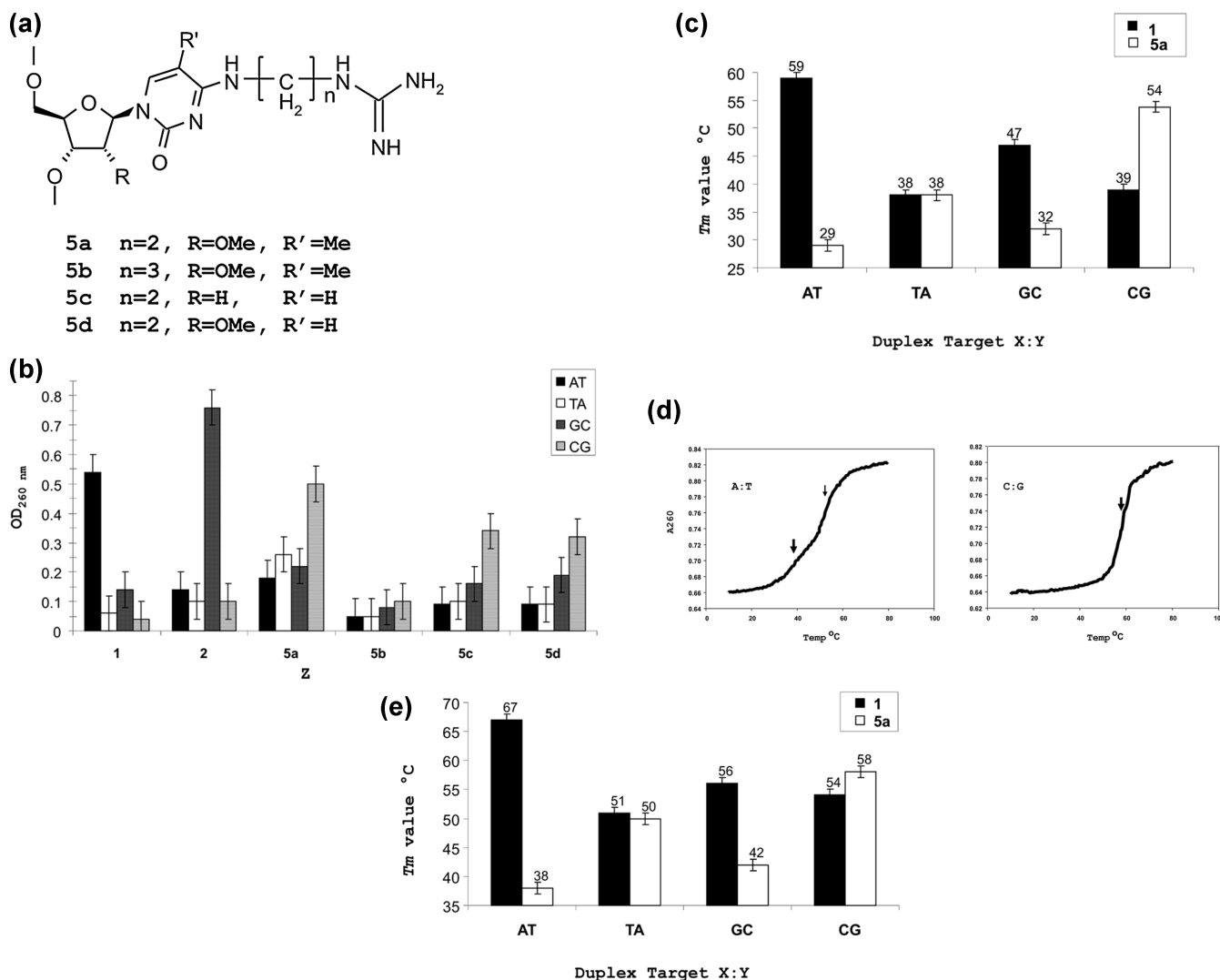


FIGURE 4: Analysis of second generation analogues. (a) Analogue structure. (b) Binding assay as in Figure 3b. (c) Thermal stability of triplexes with 2'-OMe third strands. (d) Thermal stability profile of AE-TFO-5a on the duplex target with A:T or C:G at the X:Y position. The thick arrow denotes the inflection for the triplex, while the thin arrow indicates that for the duplex. There is a single transition in the pattern with the C:G duplex as the triplex is more stable than the underlying duplex. (e) Thermal stability of triplexes with the 2'-AE cluster.

targets was determined by thermal stability analysis (Figure 4c and Figure S3 of the Supporting Information). The triplex with the C:G·5MeC-5a triplet had the highest T_m value, while the A:T·5MeC-5a triplex had the lowest. Furthermore, the addition of the guanine moiety improved the differential between the triplex formed by TFO-5a and that formed by TFO-T.

In our previous work, we found that a cluster of 2'-AE ribose was essential for TFO bioactivity (15). Accordingly, we synthesized a TFO with the 2'-AE cluster with the N^4 -guanidylethyl-5-methylcytosine at the Z position (AE-TFO-5a). The oligonucleotide was linked to psoralen so that it could be examined in the *Hprt* knockout bioassay. Because psoralen is not stable to the conditions of the guanidylation reaction, the derivatization of the triazole thymidine was conducted before psoralen coupling (Methods). The resultant oligonucleotide was characterized by thermal stability analysis (Figure 4d,e and Figures S3 and S4 of the Supporting Information). The T_m value of the triplex on the A:T duplex was 38.4 °C, while that of the duplex itself was 52 °C. Consequently, two transitions are apparent (Figure 4d). However, the triplex formed by TFO-5a and the C:G duplex is more stable than the underlying duplex (54.7 °C), and there is a single transition at 58 °C. Single-transition thermal stability profiles are

characteristic of TFOs containing a cluster of AE residues and have been discussed previously (14).

The T_m value of the triplex formed by AE-TFO-5a on the C:G duplex was increased relative to that of TFO-5a with only 2'-OMe ribose sugars. However, there was also an increase in the stability of the triplex on the C:G duplex formed by the TFO-T designed for the wild-type sequence. This increase in the T_m value due to the 2'-AE cluster was seen with all the triplexes, although the magnitude of the increase was variable across the targets.

TFO Activity in the *Hprt* Bioassay. The bioassay is based on the mutagenic activity of a psoralen cross-link targeted by the TFO to a site in the *Hprt* gene immediately adjacent to exon 5. In most of our studies, we have used Chinese hamster cells because of the ease of manipulation and transfection and the uninterrupted polypurine:polypyrimidine triplex target next to exon 5. As noted above, the corresponding element in the murine genome contains the C:G interruption in the triplex target. Although biological testing of pso-TFOs with base analogues could be performed in murine cells, they do not have the same properties (growth, colony formation, and transfectability) as the CHO cells. Consequently, it would be difficult to compare the results of *Hprt* knockout by TFO targeting if cells of the two species were employed.

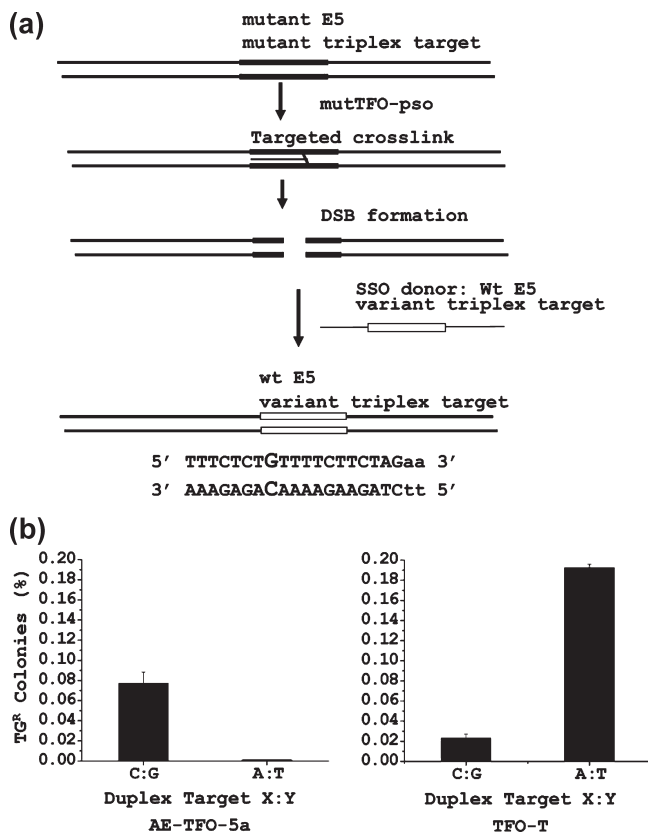


FIGURE 5: Bioassay of TFO with the 5a analogue. (a) Construction of the CHO cell line with the murine triplex target site adjacent to exon 5 of *Hprt*. A cell line with a variant triplex target sequence adjacent to exon 5 was constructed by targeted sequence conversion (55, 56). This cell line is isogenic to the CHO AA8 line, differing only in the conversion of an A:T duplex to a C:G duplex at the X:Y position as shown in Figure 2. (b) Frequency of *Hprt* deficient colonies after treatment with AE-TFO-T and AE-TFO-5a in cells with the wild-type (A:T) and triplex (C:G) target.

To eliminate this problem, we constructed a variant CHO cell line in which the *Hprt* target sequence was altered to match the murine sequence. This was done by targeted sequence conversion using a single-strand oligonucleotide donor, as we have described previously (55, 56). The procedure takes advantage of the processing of some fraction of the targeted psoralen cross-links through a double-strand break. These breaks can enter sequence conversion and recombination pathways. We exploited a CHO cell line that we constructed that was deficient in *Hprt* but had a unique triplex–psoralen target site adjacent to a defective exon 5. The appropriate *pso*-TFO was cotransfected with a 100-mer single-strand oligonucleotide donor containing the sequence information required to restore exon 5 and replace the hamster triplex target with the murine C:G inversion target (Figure 5a). Following treatment, the cells were incubated in HAT medium to select for cells with the restored *Hprt* function, and colonies were picked and expanded. Sequence analysis confirmed the expected conversion, and one of the clones was used in the assay of AE-TFO-5a, as were cells with the wild-type hamster sequence.

The results of the bioassay indicated that AE-TFO-5a was active against the C:G inversion target in the C:G variant cells and inactive against the A:T target sequence in the wild-type cell line (Figure 5b). In comparison, the TFO against the wild-type sequence (TFO-T) was active against its matched, wild-type, target but exhibited very little activity against the C:G target in the variant cells. The TFO-T had about twice the activity against

its matched target as did AE-TFO-5a against the C:G target. Characterization of the target region in the *Hprt* deficient colonies treated with AE-TFO-5a revealed the same pattern of small deletions found in experiments with bioactive TFOs designed against the wild-type target sequence. These deletions remove the psoralen cross-link site and some portion of exon 5 (13, 18, 37).

Modeling the Triplexes Formed by TFO-5a and TFO-T. The bioassay of AE-TFO-5a demonstrated success against a target with an interruption in the polypurine target sequence. To interpret our thermal stability analyses and bioassay data and guide future effort, we conducted molecular dynamics (MD) simulation studies of the relevant duplex and triplex structures.

Initially, the double-stranded DNAs were constructed, followed by preparation of the modified bases and a TFO strand. The 32-mer polypurine:pyrimidine sequence of interest contained the Chinese hamster *Hprt* target sequence (Table 1), where X:Y correspond to either an A:T (WT) or a C:G inversion. For comparison, we also modeled the structure formed on a duplex in which the X:Y pair was a G:C duplex. The 17-mer third-strand pyrimidine TFO (T-T-T-C-T-C-T-Z-T-T-T-C-T-T-C-T) forms a hydrogen bond to the first strand of the duplex, where Z can be one of the four nucleotides. The bases on the TFO strand were modeled with all sugar residues as 2'-OMe ribose, and all the cytosine bases were methylated at the C5 position. This base and sugar modification pattern corresponds to that of the triplexes examined in the thermal stability determination of Figure 4c. Thus, the results of the MD analysis will be compared to those data.

In the following, all the systems will be cited according to the bases in the midst of the corresponding duplex (X:Y) or triplex (X:Y·Z) structures, where X represents the base in the polypurine strand (first), Y the base in the pyrimidine strand (second), and Z the base in the TFO (third) (as in Figure 2). The systems were simulated using an explicit solvent representation as described in Methods. Production MD simulations were performed for 30 ns, from which the last 25 ns was used in the analyses. Simulations of the duplexes alone showed all the structures to be stable over a 30 ns simulation time and will not be discussed further. With the triplexes, the overall behavior of the simulations was monitored on the basis of the root-mean-square deviation (rmsd) of the backbone atoms (Figure S5 of the Supporting Information). It is evident that during the first few nanoseconds the systems relax, followed by fluctuations about stable values for the remainder of each simulation. This behavior indicates that stable, equilibrated structures were achieved and that the final 25 ns of the trajectories is suitable for more detailed analyses. Interestingly, the A:T·T triplex has the lowest rmsd of the systems studied with an average value of 3.3 (0.5) Å, where the value in parentheses represents the rms fluctuation of the rmsd values. While an indirect measure of stability, the low rmsd compared to those of the other sequences is consistent with this being the most stable triplex as seen in the thermal stability experiments in Figure 4c, which shows the T_m values of the triplexes with 2-OMe ribose at all positions. The other five structures containing the triplets (A:T·5MeC-5a, G:C·T, G:C·5MeC-5a, C:G·T, and C:G·5MeC-5a) demonstrate much larger fluctuations of 4.3 (0.8), 3.9 (0.7), 3.7 (0.5), 4.0 (0.6), and 4.4 (0.7) Å, respectively.

To gain an understanding in molecular detail of the stability of DNA complexes obtained from the thermal melting experiments, the hydrogen bonding patterns were analyzed for all six systems, including Watson–Crick base pairing in the duplexes and hydrogen bonds formed between the TFO strands and their

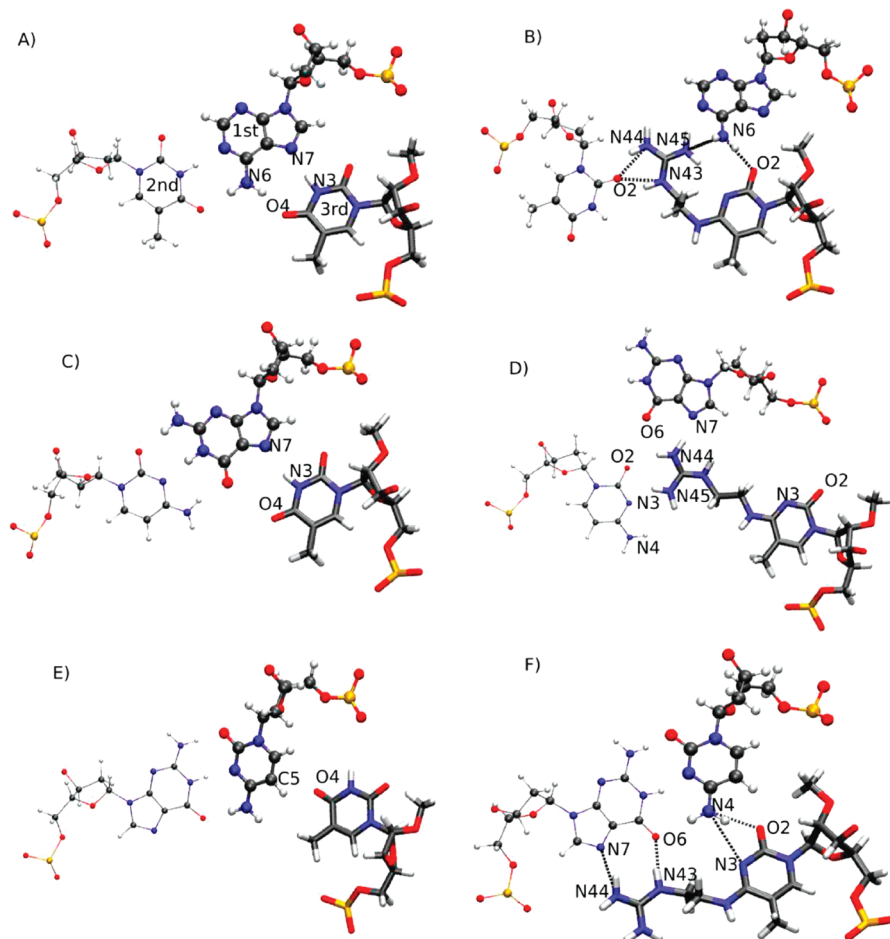


FIGURE 6: Modeled structures of the central triplets formed by (A) A:T·T, (B) A:T·5MeC-5a, (C) G:C·T, (D) G:C·5MeC-5a, (E) C:G·T, and (F) C:G·5MeC-5a. The structures are snapshots from the 15 ns time step of the MD simulations and are oriented such that the viewer is looking down along the helical axis. In all systems, the base triplets are nearly coplanar. The strand 1 base is shown in standard CPK format, the strand 2 base in thin CPK format, and the strand 3 base in licorice format.

corresponding duplexes. Here, we consider the central 5 bp that encompass the central X:Y·Z sequence, which is the position of the mismatch or inversion. Table S1 of the Supporting Information outlines the heavy atoms involved in the hydrogen bonding interactions for both Watson–Crick and Hoogsteen pairs. The average distances for the corresponding interactions were then calculated and are listed in Tables S2 and S3 of the Supporting Information. Time series of these distances are shown in Figures S6–S11 of the Supporting Information.

Analysis of the Watson–Crick interactions shows the hydrogen bonds to be well maintained in the unmodified systems (A:T·T, G:C·T, and C:G·T) for all five bases shown, including X:Y·Z (Table S2 of the Supporting Information). Notably, for the modified species, Watson–Crick hydrogen bonding is only maintained in C:G·5a while significant disruption of the Watson–Crick interaction is evident in A:T·5a and G:C·5a. These results are consistent with the C:G·5a system having the highest T_m of the modified species and indicate that the guanidinium moiety, in this triplet, is not perturbing the parent duplex.

With regard to the Hoogsteen interactions involving the TFO, these hydrogen bonds are well-maintained in A:T·T, the most stable species (Table S3 of the Supporting Information). Some disruptions of the Hoogsteen interactions occur with G:C·T and to a greater extent with C:G·T, again consistent with the relative stabilities of the species. Upon introduction of 5a into the third strand, significant changes in the Hoogsteen interactions occur.

Destabilization of Hoogsteen hydrogen bond interactions occurs in both A:T·5a and G:C·5a, while there is a tendency for the associated hydrogen bonds to become more stable with C:G·5a. These trends are again consistent with the experimental T_m values (Figure 4c). To visualize the molecular interactions leading to the hydrogen bonding patterns outlined in Tables S2 and S3 of the Supporting Information, images of the triplets at the X:Y·Z position for the six simulated systems were extracted from the trajectories (Figure 6). With A:T·T, the ideal nature of both the Watson–Crick and Hoogsteen interactions is evident, leading to the stability of that triplet (Figure 6A). However, when the 5a modification is introduced (Figure 6B), the guanidinium moiety competes with the Watson–Crick interactions, inserting itself between the two Watson–Crick bases. This competition, as well as the lack of other interactions between the TFO and the duplex, would lead to the lower stability of this system. The conformation sampled by the guanidinium moiety in Figure 6B requires minor local unstacking, though the surrounding structure of the TFO is well-maintained. There were no constraints imposed on the guanidinium moiety of the modified base or on the remainder of the system during the MD simulations, thereby allowing the guanidinium moiety to sample all accessible conformations in the vicinity of its neighboring bases. In the course of the 30 ns MD simulations, the guanidinium moiety did not interact with the phosphate backbone or assume an orientation parallel to the helical axis.

A similar pattern occurs with G:C·T and G:C·**5a** (Figure 6C,D). In G:C·T, ideal Watson–Crick hydrogen bonding is occurring and the thymine base in the TFO forms strong Hoogsteen hydrogen bonds with the guanine. However, in G:C·**5a**, the guanidinium again competes for the Watson–Crick interaction, in this case interfering with the approach of the cytosine base to the G in the duplex (Figure 6D). Ultimately, these interactions lead to the guanine in the duplex flipping out of the duplex (Figure S12 of the Supporting Information), further contributing to the destabilization of this species. With C:G·T (Figure 6E), the Watson–Crick interactions are seen to be well-maintained, but the inability of the thymine of the TFO to interact with the cytosine of the duplex via Hoogsteen interactions is readily visualized. This poor interaction leads to the lower T_m value of this species. However, in the C:G·**5a** triplex (Figure 6F), the guanidinium moiety assumes an orientation that allows it to form well-defined hydrogen bonds with the N7 and O6 atoms of the guanine base in the duplex. These interactions also allow the cytosine of the TFO to form hydrogen bonds with N4 of the cytosine in the duplex, via the N3 and O2 atoms of TFO **5a**. It is likely that this collection of hydrogen bonds leads to the stability of this species.

The energetic consequences of the interactions shown in Figure 6 are indicated in Table 1, which lists the interaction energies between five central bases in the three strands. Of the unmodified species, the combination of the Watson–Crick and Hoogsteen interactions is most favorable with A:T·T, followed by G:C·T and then C:G·T, again consistent with the experimental T_m values. With the modified species, the least favorable interactions occur with A:T·**5a** and G:C·**5a** in accord with the lower T_m values, while G:C·**5a** has the most favorable inter-strand interaction energies, consistent with its higher T_m value. Thus, while the energies presented in Table 1 are not free energies and, accordingly, cannot be expected to directly predict relative stabilities, they are consistent with the hydrogen bonding analysis and support a model in which modifications that maximize Hoogsteen-type hydrogen bonding, while allowing for the Watson–Crick interactions to be maintained, are predicted to lead to the formation of stable triplexes.

DISCUSSION

In previous work, we identified an effective modification format that conferred activity on TFOs against canonical polypurine:polypyrimidine sequences in living cells. Thus, a major remaining obstacle to the development of TFOs as versatile gene targeting reagents has been the limitation on target sequence options. In the experiments reported here, we have described the synthesis and characterization of a bioactive TFO designed to bind an interrupted target sequence. Several features distinguish our approach from previous efforts to synthesize and characterize effective analogues.

We employed a postsynthetic modification strategy for synthesis of all the analogues examined. This made it possible to synthesize and qualify a number of derivatives without preparing individual analogues as phosphoramidite precursors. A similar approach was described a number of years ago by Huang et al. (29), who employed transamination of a specific cytosine residue in an oligonucleotide. That study took advantage of the resistance of 5-methylcytosine to transamination in the presence of bisulfite, which provided a protective strategy for all cytosines except the one intended for the reaction. However, given the

improvement of triplex formation conferred by the 5-methylcytosine, we elected to use the triazolyl-T as the derivatizable base.

We developed a simple support-based binding assay to quickly identify candidates for further analysis. The practical utility of this approach was that oligonucleotides with individual analogues did not have to be purified before examination in the binding assay. Thus, unpromising candidates could be discarded without a major investment of time and expense.

The third strand oligonucleotide contained 2'-OMe ribose at all positions, as a result of our previous experience with bioactive TFOs (57, 58), and the much earlier description of stable triplexes formed by RNA and RNA analogue third strands (7, 59). We have found that the evaluation of TFOs with 2'-OMe ribose provides information that can be used to select candidates for further modification with 2'-AE ribose residues (17). The 2'-AE phosphoramidites are much too costly in time and effort of preparation to be used at all stages of the development process.

After characterization of the TFOs in biochemical and biophysical assays, we examined their activity in a bioassay. To the best of our knowledge, this study is the first to report the activity of a TFO, carrying a code-expanding base analogue, directed toward a chromosomal target in living cells. We took advantage of the quantitative *Hprt* mutation assay that we have used in previous studies. To establish an isogenic cell line, differing at a single base pair in the triplex target, we exploited our recent development of a strategy for targeted sequence conversion by single-strand oligonucleotide donors (55, 56). This allowed us to construct a matched cell line with the variant target in the identical chromosomal locus as the wild-type cell. We anticipate that this approach can be used to construct additional lines, containing other variant triplex targets, including those with multiple inversions and variations in the bases adjacent to the inversions.

Comparison of the Analogue. The C:G interruption is considered more approachable than the T:A interruption, and efforts to design base analogue “solutions” have received more attention. TFOs containing the cytosine derivatives of the Miller group, *N*⁴-propylamino and acetamido, formed triplexes that were more stable on a C:G target than on duplexes with the other bases. These analogues were designed with the expectation that a hydrogen bond would form with one of the Hoogsteen acceptor sites of guanine. In that study, the acetamido derivative was more effective. However, in our experiments, we found little to distinguish them and chose to develop the amino compound (Figure 3b). It is difficult to directly compare the efficacy of the compounds discussed here with those in the prior literature given differences in TFO sequence, and our modification of all ribose units with 2'-OMe or 2'-AE. These modifications make a general contribution to triplex stability. It has been our experience that this can obscure the influence of individual base analogues on stability. Thus, the effect of substitutions that improve the stability of triplexes formed by third strands with deoxy sugars may be less apparent when in RNA analogue third strands (60). The extent to which the sugar modifications improve triplex stability appears to be somewhat variable with TFOs on different targets. Comparison of the stability of the triplexes formed by the 2'-OMe TFOs with those with the 2'-AE residues reveals in some cases a uniform increase in stability; the T_m values of TFOs on the T:A duplex (Figure 4e) were the same (38 °C), and inclusion of the 2'-AE cluster elevated both to the same level (51 and 50 °C). On the other hand, the distinction between the two TFOs on the

C:G target, which was 15 °C with the 2'-OMe version, was reduced to 4 °C when the 2'-AE cluster was added.

Organization of Bioactive TFO-5a. Recognition of C:G inversions by analogues that exploit the single hydrogen bond option with an N4 proton of cytosine has been described: a 5-methyl-2-pyridone by the Imanishi lab (61) and a 5-methyl-2-pyrimidinone by the Leumann group (26). The efficacy of these analogues was greatly enhanced by combination with a sugar analogue that improves triplex stability, a 2'-O,4'-C-methylene-bridged ribose in the former report and a 2'-AE ribose in the latter. The combination of the 2'-AE sugar and the "S" analogue {N-[4-(3-acetamidophenyl)thiazol-2-yl]acetamide}, designed to recognize the T:A inversion, has also been discussed (62). This combinational approach clearly has promise, and it would be of interest to examine the activity of the guanidyl analogue linked to a 2'-AE ribose. However, for our initial study, we considered TFOs with a different organization of 2'-AE substitutions.

This approach was chosen for the following reasons. We have found that TFO bioactivity correlates with the association rate of triplex formation. TFOs with clustered 2'-AE residues (three or four) had the highest k_{on} values, and the greatest bioactivity (15). We have argued that the grouping promoted the nucleation step that initiates triplex formation. Consequently, we felt it was important to maintain the 2'-AE cluster. One approach would have been to prepare the guanidyl derivative coupled to a 2'-AE ribose and embed the mixed analogue in the 2'-AE cluster. This would require that the analogue not only support a stable interaction with the C:G base pair but also contribute to the activity of the cluster in stimulating the nucleation phase of triplex formation. To avoid this, we chose the alternative design, placing the analogue away from the cluster so that it was required to interact effectively only with the C:G pair. We also considered the possibility of combining the 2'-AE cluster with an isolated 2'-AE-5a analogue. However, we have found that isolated 2'-AE residues, in addition to the cluster, actually reduce TFO bioactivity, although they improve affinity in vitro (63). Consequently, we maintained the 2'-AE cluster as previously described and synthesized the guanidyl derivative on a 2'-OMe ribose, in keeping with the other positions outside the cluster. This organization maintained, in AE-TFO-5a, the kinetic properties of our previous AE-TFOs. Thus, we found that the rate of association of AE-TFO-5a against the C:G target duplex, and that of TFO-T against the A:T (wt) target, were the same (not shown).

Molecular Modeling. Molecular modeling, including MD simulations, of six of the experimentally studied triplexes allows for a model of the types of interactions required to obtain stable triplexes with duplex targets containing pyrimidine interruptions in the purine strand. As anticipated, the presence of an inversion, as in C:G·T, disallows the formation of Hoogsteen hydrogen bonding, though it appears that disruption of Watson–Crick interactions in the duplex does not occur. To overcome the loss of stability due to the inversion, a successful base modification must maximize interactions with the hydrogen bonding groups in the major groove of the DNA while still allowing for the Watson–Crick interactions to be maintained. Even in the case of strong interactions of the modified bases with the major groove, perturbation of the Watson–Crick interactions would both disrupt the favorable intermolecular interactions between the strands in the duplex and allow water to penetrate the helix, further destabilizing the complex.

The results of the MD simulations were in accord with the thermal stability data of Figure 4c. They were also in good

agreement with the bioactivity data. The interaction energy calculations of Table 1 are consistent with the 10-fold greater bioactivity of AE-TFO-T against the matched A:T target (−74.58 kcal/mol) and the much lower bioactivity against the C:G inversion target (−55.3 kcal/mol). Similarly, the bioactivity of AE-TFO-5a against the C:G inversion target (−64.69 kcal/mol) was greater than that against the A:T target (−51.72 kcal/mol). It should be noted that when considering the "perfect G:C" target the MD simulations predict some level of bioactivity of AE-TFO-T (wt) (−64.95 kcal/mol) but minimal activity of AE-TFO-5a (−48.89 kcal/mol). Experiments are in progress to test these predictions.

These results demonstrate the utility of modeling based on MD simulations that yield a molecular picture of the factors stabilizing triplexes. They suggest that these approaches may be used in a predictive fashion to facilitate the design of TFOs that overcome inversions in triplex target sequences. We anticipate that the strategy presented here, combining base and sugar modification chemistry, molecular modeling, and the bioassay based on isogenic cell lines containing variant triplex targets, will contribute to the expansion of the triplex binding code, a challenge for the past five decades.

SUPPORTING INFORMATION AVAILABLE

Primary thermal stability profiles (Figures S1–S4), support for the molecular modeling (Tables S1–S3 and Figures S5–S11), and the distortion of the G:C·5a triplet (Figure S12). This material is available free of charge via the Internet at <http://pubs.acs.org>.

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